

Receipt

ATTORNEY DOCKET NUMBER  
062891.0423

PATENT  
09/752,876

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUL 26 2001

Technology Center 2600

In re Application of: Malcolm M. Smith  
Serial No.: 09/752,876  
Filing Date: December 28, 2000  
Title: DISTRIBUTION OF PACKETS TO FOREIGN AGENTS  
USING MULTICAST PROTOCOLS

Office of Initial Patent Examination's Customer  
Service Center  
Assistant Commissioner of Patents  
Washington, D.C. 20231

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J. Vaquez  
Name  
3/22/01  
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Pursuant to 37 C.F.R. § 1.213 (a), at the time the application was filed Applicants requested that this application not be published. The Official Filing Receipt does not reflect this request. For the Non-Publication Request, please delete "No", and insert --YES--. Additionally, please delete the Projected Publication Date of "07/04/2002." A copy of the transmittal form

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which was filed with the application is included and reflects Applicants preference for non-publication. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 22<sup>nd</sup> day of March, 2001.

BAKER BOTTS L.L.P.

Attorneys for Applicants

Barton E. Showalter  
Reg. No. 38,302

2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6509  
(jdv)



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Jc  
7/17



Bib Data Sheet

CONFIRMATION NO. 9414

SERIAL NUMBER 09/752,876	FILING DATE 12/28/2000 RULE	CLASS 370	GROUP ART UNIT 2664	ATTORNEY DOCKET NO. 062891.0423
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**APPLICANTS**

Malcolm M. Smith, Morrisville, NC;

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 02/08/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NC	SHEETS DRAWING 2	TOTAL CLAIMS 32	INDEPENDENT CLAIMS 5
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

Barton E. Showalter  
 Baker Botts L. L. P.  
 2001 Ross Avenue  
 Dallas ,TX 75201-2980

**TITLE**

Distribution of packets to foreign agents using multicast protocols

FILING FEE RECEIVED 1086	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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Attorney's Docket:  
Q62891.0423

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PATENT APPLICATION

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

Box Patent Application  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 062891.0423  
Express Mail #EL501047997US

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: SMITH, Malcolm M.

For: DISTRIBUTION OF PACKETS TO FOREIGN AGENTS  
USING MULTICAST PROTOCOLS

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

Specification, Claims and Abstract (28 pages);  
Formal Drawings (2 pages);  
Declaration and Power of Attorney (3 pages);  
Bibliographic Data Sheet;  
Certificate of Mailing by Express Mail;  
An Assignment of the invention to Cisco Technology, Inc. (1 page)

Separate cover sheet (1 page) in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h); and

This Transmittal Form with attached patent application filing fee in the amount of \$1,086.00.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$ 710.00
Total Claims	32	-20	12	X \$18 =	216.00
Independent Claims	5.	- 3	2	X \$80 =	160.00
TOTAL FILING FEE =					\$ 1,086.00

Attorney's Docket:  
.062891.0423

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Enclosed is a check in the amount of \$ 1,086.00 for filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicant



Kurt M. Pankratz  
Registration No 46,977

Date: December 28, 2000



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WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,876	12/28/2000	2661	1086	062891.0423	2	32	5

MAR 26 2001

PATENT & TRADEMARK OFFICE  
BENTON E. Showalter  
Baker Botts L. L. P.  
2001 Ross Avenue  
Dallas, TX 75201-2980

**CONFIRMATION NO. 9414**

**FILING RECEIPT**

\*OC000000005747913\*

Date Mailed: 02/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Malcolm M. Smith, Morrisville, NC;

**RECEIVED**

JUL 26 2001

**Assignment For Published Patent Application**

Cisco Technology, Inc.,;

Technology Center 2600

**Continuing Data as Claimed by Applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 02/08/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No YES

Early Publication Request: No

**Title**

Distribution of packets to foreign agents using multicast protocols

Preliminary Class

370

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Data entry by : GEBRESILASSIE, FREWOINI Team : OIPE

Date: 02/08/2001

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
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